

Personal Information	
<b>Full names</b>	Caleb Mutsumba
<b>Current job: Employer</b>	Ernst & Young
<b>Title</b>	Senior Manager
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<b>Postal</b>	12 Normanton Rd., Marlborough, Harare
<b>D.O.B./Marital Status</b>	06/01/1959 Married with two children
<b>Language proficiencies</b>	Ndebele, Shona, English
<b>Career development to date</b>	<ul style="list-style-type: none"> <li>* Fraud risk management.</li> <li>* Financial, fraud and corruption investigations</li> <li>* Computer forensics and investigative data analytics in commercial/financial investigations</li> <li>* Due diligence investigations</li> <li>* Project management</li> <li>* Founding and managing a professional services department</li> <li>* Sales and marketing</li> </ul>

Education/Career Development	
<b>Professional qualifications/associations</b>	Member - Institute of Chartered Secretaries and Administrators (CIS) <sup>1</sup> .
<b>Training courses attended</b>	Several short courses in computers and investigations; both here and in South Africa where I was on secondment with Ernst & Young in Johannesburg in 2001.
<b>Articles published</b>	Several articles published in the Financial Gazette, Harare and other business publications. Presentations on the subjects of Fraud and Forensic Accounting given to several groups countrywide.

<sup>1</sup> The CIS diploma is equivalent to an honours degree in business studies in Zimbabwe. The entry requirements to the study programme are equivalent to those of the local universities.

Employment Record/Work Experience	
EMPLOYER & PERIOD	POSITION & DUTIES
Ernst & Young, Angwa City, Harare. 02/04/2001 to date	<p><b>Senior Forensics Manager.</b></p> <ul style="list-style-type: none"> <li>* Established the Forensics Department with Mr McIndoe, Partner.</li> <li>* Runs (i.e. marketing, internal/external client liaison, administration and planning, etc.) the department: The fastest growing department within the firm, now comprising of seven direct subordinates - three managers and four consultants (lawyers and accountants) reporting to me.</li> <li>* Initiate, pursue, perform and deliver on own clients and engagements. Deliverables are in the form of reports and/or presentations to clients', mostly, board of directors or audit committees, and/or judicial/forensic reports to the justice system.</li> <li>* Initiate and manage internal (for the department) and external (for clients) projects</li> <li>* Sales and marketing the department as well as specific products and services in Zimbabwe and Africa.</li> <li>* Developing new products and services, including developing services into products.</li> </ul>
Agribank, Hurudza House, Harare. 01/11/1999 to 31/03/2001	<p><b>Investigations Officer</b></p> <ul style="list-style-type: none"> <li>* Head of two-man Investigations Unit of the Internal audit department, reporting to the Chief Internal Auditor.</li> <li>* Occasionally joined audit teams in the absence of investigation work. Deliverables were in the form of reports to the Chief Internal Auditor and recommending (i) action against offenders and (ii) systems enhancement and best practice.</li> <li>* Attended disciplinary hearings on behalf of management.</li> <li>* Attended the Zimbabwe Financial Services Security Committee meetings on behalf of the bank.</li> </ul>
CID Fraud Squad, P O Box 583, Bulawayo 1989 to 1999	<p><b>Detective</b></p> <ul style="list-style-type: none"> <li>* Detecting and investigating major white-collar crimes in Zimbabwe and assembling the State Case for eventual prosecution of offenders at Court.</li> <li>* Present oral, documentary and other evidence at Court in terms of the country's laws of evidence and procedure.</li> <li>* As Detective Sergeant, managing a subsection of detectives.</li> </ul> <p>Prior to my progression to Fraud Squad, I served with various ZRP Stations and CID Sections. I joined the ZRP in 1984. Before that I was a soldier in the Zimbabwe National Army starting in October 1980.</p>

**SAMPLES OF WORK ACCOMPLISHED**

In all these examples, the clients' objective (mostly, prosecution of offenders, tracing and recovery of assets or termination of culprits or both) were achieved. I added value to client's operations by suggesting best practice and recommending improvements to systems.

<b><u>SECTOR</u></b>	<b><u>EXAMPLE&amp;YEAR</u></b>
<b>Financial Services</b>	<ul style="list-style-type: none"> <li>○ Investigated, on behalf of the trustees of a major pension fund, alleged irregularities in the investment transactions entered into through a Merchant Bank by the Fund's Principal Officer (PO) in respect of purported GMB Bills and Government Stocks. PO successfully terminated as a result. 2002</li> <li>○ As part of the Curators team at both Royal and CFX Banks took charge of the requisite investigations to determine the reasons for the collapse of the institutions. 2004, ongoing</li> </ul>
	○
<b>Industrial</b>	<ul style="list-style-type: none"> <li>○ Verified client's concerns about apparent errors or suspicions of fraud or irregularities in their sales system at a steel products manufacturing company. Successfully showed that the anomalies were a result of defective computer systems settings. 2001</li> <li>○ Investigated the propriety of transactions in the debtors' ledger of an international explosives manufacturing company. 2002</li> <li>○ Investigated, at a motor vehicle assembling company, (a) alleged irregularities in the sales of new vehicles through third parties and (b) the propriety of (i) the company's Repairs and Maintenance account, and (ii) a new IT computer project. 2003</li> </ul>
<b>Public Sector</b>	<ul style="list-style-type: none"> <li>○ I investigated several allegations of fraud in government and quasi government bodies when I was a police fraud detective.</li> </ul>
<b>Retail &amp; Consumer Products</b>	<ul style="list-style-type: none"> <li>○ Investigated possible fraudulent activities by point of sale employees at meat products outlets. 2002</li> </ul>
<b>Petroleum</b>	<ul style="list-style-type: none"> <li>○ Reviewed and investigated the propriety or otherwise of the accounting and costing aspects of the constituent elements of the cost of factory products sold (stocks and purchases) for a major petroleum group and recommended, based on the findings, best practices and</li> </ul>

	<p>procedures. 2001</p> <ul style="list-style-type: none"> <li>○ On behalf of shareholders, took on the management and running of a fuels importing company whose management team was fleecing the shareholders. Successfully turned the company around and recruited a new management team. 2006</li> </ul>
<b>Pharmaceutical</b>	<ul style="list-style-type: none"> <li>○ Investigated data anomalies showing between copy invoices and the respective computer log files at a listed medical supplies company. 2002</li> <li>○ Investigated allegations of abuse of office and corruption that were anonymously levelled against the Managing Director of a subsidiary of a listed pharmaceutical group. 2002</li> </ul>
<b>Mining</b>	<ul style="list-style-type: none"> <li>○ Investigated and quantified the loss suffered as a result of thefts of fuels at a major platinum mining company and determined the basis for the prosecution of the culprits. Also assisted the police in compiling evidence for inclusion in their crime dockets, and provided the requisite litigation support. 2002</li> <li>○ At another platinum mining company, investigated the abuse of company loan schemes by members of senior management. 2005</li> <li>○ Carried out a value-for-money assessment for imports and freight system for another platinum mining company. 2006</li> </ul>
<b>NGO/Other</b>	<ul style="list-style-type: none"> <li>○ Investigated anomalies pertaining to the non-banking and disappearance of company funds from a travel agency and recommended internal control improvements to the cash handling and banking procedures. 2002</li> <li>○ Investigated irregularities pertaining to procurement of fuels and office supplies at an international non-governmental organisation. 2003</li> <li>○ Investigated, on behalf of DFID, the operations and application of donor funds of several non-governmental organisations in Zambia. 2004</li> <li>○ Carried out a Current State Assessment of the Finance and the Procurement systems of a major national airline. 2005</li> </ul>

**LANGUAGES:**

	<i>Spoken</i>	<i>Written</i>	<i>Reading</i>
English	Very Good	Excellent	Excellent
Shona	Excellent	Excellent	Excellent

**CERTIFICATION:**

I, the undersigned, certify that to the best of my knowledge and belief, these data correctly describe my qualifications, my experience, and me.

Caleb Mutsumba

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[Signature]

Date: \_\_\_\_\_

**Caleb Mutsumba**